

JCS44 U.S. PTO  
04/15/98

Express Mail Label No.: EH728059946US

Dear Sir:

For (Title): **Method and Apparatus for Prestage Filtering Communications**

Enclosed are:

## Instructions:

X Incorporation by Reference (for Continuation/Division application) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

X Since the present application is based on a prior US application, please amend the specification by adding the following sentence before the first sentence of the specification: "The present application is based on prior US application No. 08/574,537, filed on 12/19/1995, which is hereby incorporated by reference, and priority thereto for common subject matter is hereby claimed."

X Please cancel filed claims 2-39.

X The filing fee is calculated as follows:

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BY PRELIMINARY AMENDMENT

	NUMBER OF CLAIMS	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	16- 20 =	0	X \$22	= \$0.00
INDEPENDENT CLAIMS	6- 3 =	3	X \$82	= \$246.00
MULTIPLE DEPENDENT CLAIMS			\$270	= \$ 0.00
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Date: April 15, 1998

Signature: 

Printed Name: Terri S. Hughes

Please forward all correspondence to:  
Jonathan P. Meyer  
Motorola, Inc.  
Corporate Offices  
1303 E. Algonquin Road  
Schaumburg, IL 60196

Attorney/Agent for Applicant(s)  
Registration No. P-41,856  
MOTOROLA, INC.  
Phone: (847) 576-0741  
Fax: (847) 576-3750

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Eggleston et al.	EXAMINER:	Luu, L.
SERIAL NO.:	N/A	ART GROUP:	2756
FILED:	04/16/98	DOCKET NO.:	PD05517AWC01
APPLICATION:	Method and Apparatus for Prestage Filtering Communications		

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Motorola, Inc.  
Corporate Offices  
1303 E. Algonquin Road  
Schaumburg, Illinois 60196  
Date: April 15, 1998

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents  
Washington, DC 20231

Dear Sir:

This preliminary amendment is submitted with a request for a continuation application of prior US application No. 08/574,537, filed on December 19, 1995.

In the Claims:

Please cancel claim 1 without prejudice or disclaimer.

40. (New) A method of communicating data units over a wireless network between a client communication unit and a host device via a communication server, the method comprising, at the communication server:

filtering data units based on a first set of user-selected criteria to produce filtered data units;

communicating the filtered data units to the client communication unit;

receiving a second set of a plurality of user-selected criteria, wherein the second set of the plurality of user-selected criteria has been previously prepared at the client communication unit and, when completed, has been sent to the communication server in a virtual session;

filtering subsequent data units based on the second set of the plurality of user-selected criteria to create subsequent filtered data units; and

communicating the subsequent filtered data units to the client communication unit.

41. (New) The method according to claim 40 further comprising truncating a filtered data unit if the filtered data unit exceeds a first filter size.

42. (New) The method according to claim 40 further comprising truncating a subsequent filtered data unit if the subsequent filtered data unit exceeds a second filter size.

43. (New) The method according to claim 40 further comprising:  
maintaining a summary index of data units that did not pass the first set of user-selected criteria to produce unfiltered data units; and  
automatically forwarding the unfiltered data units to the client communication unit that pass the second set of the plurality of user-selected criteria.

44. (New) A method of communicating data units over a wireless network between a client communication unit and a host device via a communication server, the method comprising, at the client communication unit:

communicating a set of a plurality of user-selected criteria to the communication server;

storing the set of the plurality of user-selected criteria locally in a memory;  
receiving filtered data units from the communication server based on the set of the plurality of user-selected criteria;

reviewing the set of the plurality of user-selected criteria locally;  
modifying the set of the plurality of user-selected criteria locally to produce a modified set of a plurality user-selected criteria;  
storing the modified set of the plurality of user-selected criteria locally in the memory;  
communicating the modified set of the plurality of user-selected criteria to the communication server; and  
receiving filtered data units from the communication server based on the modified set of the plurality of user-selected criteria.

45. (New) The method according to claim 44 wherein the steps of communicating occurs when a virtual session is established between the client communication unit and the communication server.

46. (New) The method according to claim 44 wherein the step of modifying comprises modifying the set of user-selected criteria at the client communication unit while on-line with the communication server.

47. (New) The method according to claim 44 wherein the step of modifying comprises modifying the set of the plurality of user-selected criteria at the client communication unit while off-line from the communication server.

48. (New) The method according to claim 44 further comprising providing the client communication unit with several pre-defined groups of filter settings that are selectively communicable to the communication server.

49. (New) The method according to claim 44 further comprising providing the client communication unit with several groups of filter settings that are manually activated.

50. (New) A method of communicating data units over a wireless network between a client communication unit and a host device via a communication server, wherein data units are filtered at the communication server based on a set of user-selected criteria and communicated to the client communication unit, the method comprising storing a predetermined number of user-definable filter attributes in a memory, wherein the predetermined number of user-definable filter attributes include granularity filters.

51. (New) The method according to claim 51 wherein the predetermined number of user-definable filter attributes are selectively communicable to the communication server.

52. (New) The method according to claim 51 wherein the predetermined number of user-definable filter attributes are retained at the client communication unit.

53. (New) An apparatus of communicating data units over a wireless network between a client communication unit and a host server via a communication server, comprising, at the client communication unit:  
a memory having a profile store for storing user-selected criteria;  
a prestage filter unit, coupled to the memory, for filtering a data unit generated at the client communication unit using the user-selected criteria; and  
a display, coupled to the memory, for reviewing and modifying the user-selected criteria.

54. (New) A method of communicating data units over a wireless network between a client communication unit and a host device via a communication server, comprising, at the client communication unit:  
filtering locally each data unit generated using a set of user-selected criteria;  
forwarding the data units to the host device which satisfy the set of user-selected criteria via the communication server; and

retaining locally the data units which do not satisfy the set of user-selected criteria.

55. (New) A method of communicating data units over a wireless network between a client communication unit and a host device via a communication server, the method comprising:

filtering data units, at the communication server, based on a first set of a plurality of user-selected criteria to produce filtered data units;

communicating, at the communication server, the filtered data units to the client communication unit;

preparing, at the client communication unit, a second set of a plurality of user-selected criteria and, when completed, communicating the second set of the plurality of user-selected criteria to the communication server in a virtual session;

filtering subsequent data units, at the communication server, based on the second set of the plurality of user-selected criteria to create subsequent filtered data units; and

communicating, at the communication server, the subsequent filtered data units to the client communication unit.

Remarks:

The Applicants have canceled claims 1-39 and added new claims 40-55 in the present application. Support for the new claims are found on the following pages of the specification: claim 40-page 15, line 17 to page 17, line 12; claim 41-page 17, lines 22-23; claim 42-page 17, lines 22-23; claim 43-page 17, lines 8-12; claim 44-page 17, lines 2-6; claim 45-page 17, lines 6-7; claim 46-implied on page 17, lines 6-8; claim 47-page 17, lines 6-8; claim 48-page 16, lines 25-26; claim 49-page 16, lines 25-26; claim 50-page 14, line 15-29; claim 51-page 16, lines 25-31; claim 52-page 16, line 33 to page 17, line 2; claim 53-page 16, lines 15-18 and page 17, lines 1-4; and claim 54-page 16, lines 16-20.

Neither the Vaudreuil reference (USPN 5,621,727) nor the Emery reference (USPN 5,506,887) nor any combination thereof, teaches or suggests previously



preparing a set of a plurality of user-selected criteria at a client communication unit and, when completed, communicating the set of the plurality of user-selected criteria to the communication server in a virtual session.

Moreover, neither the Vaudreuil reference nor the Emery reference nor any combination thereof, teaches or suggests allowing a user to store and review the set of the plurality of user-selected criteria locally at his unit. Such a feature is advantageous over the prior art because it allows the user to remember which parameters were previously selected and allows the user to easily modify the set of the plurality user-selected criteria in its entirety or partially.

Further, the Examiner acknowledges that the Vaudreuil reference does not explicitly teach providing filtered data in response to the communication unit being logged onto the communication server in a wireless system. As a result, the Examiner relies on the Emery reference to teach delivering such information. The Emery reference, however, does not teach or suggest filtering data units generated locally at the client communication unit using a set of a plurality of user-selected criteria. In fact, the Emery reference is concerned with only applying a screening analysis to limit what is received at the cellular handset, not to limit what is transmitted from the handset. Thus, filtering messages locally at the unit and retaining the messages which do not satisfy the set of the plurality of user-criteria locally teaches away from the Emery reference.

In the event that the Examiner has any questions regarding this amendment in particular or this application in general, the Examiner is urged to contact the Applicants' undersigned representative at the below-listed telephone number.

Respectfully submitted,

Eggleston, et al.

By:

  
Terri S. Hughes

Attorney for Applicant

Prov. Registration No.: P-41,856

Telephone No.: 847-576-5214

Facsimile No.: 847-576-3750



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## METHOD AND APPARATUS FOR PRESTAGE FILTERING COMMUNICATIONS

The present application is a continuation-in-part of U.S.  
application serial no. \_\_\_\_\_, filed November 10, 1995, entitled  
"Method and Apparatus for Virtual Session Communications" by Gene  
10 Eggleston and Mitch Hansen, commonly owned together with this  
application by Motorola, Inc.

### Field Of The Invention

The present invention relates to communications and more  
15 particularly an improved method and apparatus for transferring data  
in a communications system.

### Background

The last 10 years have seen a tremendous increase in the  
20 demand for communications services, including both wired and  
wireless networks capable of handling data communications. Unlike  
real-time voice services, such as standard telephony or cellular  
wireless services, in which circuit-switched communications are  
used because of the sensitivity of users to the timing of oral  
25 dialogue/voice data, greater efficiencies can often be achieved in  
non-voice data communications through the use of packet-switched  
or hybrid communications systems. This is particularly the case  
with communications to remote users (e.g., persons sending  
messages via one of the well-known available wireless networks  
30 like GSM (Global System for Mobiles) or AMPS (Advanced Mobile

Phone System) cellular), where protracted circuit-switched sessions into a mail server or LAN (local area network) could be prohibitively expensive due to the high per-minute session charges by the wireless service provider.

5           One solution to this problem has been for users to limit, as much as feasible, their communications to sessionless communications. This can be done, e.g., by subscribing to additional email services that can receive LAN/WAN (wide area network) email and send out broadcast pages and transmissions to registered users,  
10 in lieu of requiring a user to maintain a session with a mail server. However, this disadvantageously requires subscription to an additional service, and is typically limited in the types of applications supported. With the rapid growth in emerging session-oriented applications--like the popular client server application of  
15 Lotus Notes®--the need is growing for more cost effective solutions to providing connectivity of such session-oriented applications and users remotely located from their host servers.

          Regardless of whether a session-oriented or session-less communication service is used, it is also desirable to limit the  
20 amount of information communicated between a remote user and host, both to save off-site user's time and to limit the costs arising from the more expensive rates for remote communications. Unfortunately, typical applications like email do not provide for user-selected methods for choosing and limiting the volume of down-  
25 loaded communications, or for filtering uploaded communications. Thus, a user who wants to receive remote messaging is left with an option of receiving all his messages, even the ones he or she might otherwise be willing to leave unread until a later time when no longer using expensive remote communications services. Further,  
30 many processes, like that of a typical email reply, are wasteful of bandwidth by resending all earlier messages each time a new reply is generated, even though the earlier messages may still be stored at both ends of the wireless network.

In addition to the above concerns over how to optimize the types and amount of data being transferred, there is additionally a problem in a lack of effective techniques for monitoring and even controlling an aggregate use of tariffed networks. While the network service providers have means for tracking use by an individual unit basis, which is totaled in periodic billing statements, this information is typically unavailable to users or their managers/application administrators. Thus, users and managers are typically left without any effective means for controlling the level of messaging during a billing cycle, and can only monitor or react to usage following the service providers periodic statements.

There remains therefore a need for an improved means for data communications that solves these and related problems.

#### Brief Description Of The Drawings

FIG. 1 is a block diagram of a communications system according to a first embodiment of the invention;

FIG. 2 is a block diagram of a communications system according to a further embodiment of the invention;

FIG. 3 is a flow chart illustrating virtual session data transfer between the different functional entities of the wireless communications system of FIG. 2;

FIG. 4 is a flow chart illustrating a pre-stage filtering embodiment for data transfer between the different functional entities of the wireless communications system of FIG. 2;

FIG. 5 is a flow chart illustrating one embodiment of pre-stage filtering for data transfers;

FIG. 6 is a flow chart illustrating another embodiment of pre-stage filtering for data transfers;

FIG. 7 is a flow chart illustrating a message summarization and selection embodiment for data transfer between the different functional entities of the wireless communications system of FIG. 2;

FIG. 8 is a diagram illustrating an embodiment of a summary index for use in the process of FIG. 7;

FIG. 9 is a flow chart illustrating an optimized reply embodiment for data transfer between the different functional entities of the wireless communications system of FIG. 2; and

FIG. 10 is a flow chart illustrating a rate governor embodiment for data transfer between the different functional entities of the wireless communications system of FIG. 2.

### Detailed Description

These problems and others are solved by the improved method and apparatus according to the invention. A presently preferred first main embodiment of the invention is a system including a virtual session manager (VSM) for establishing and maintaining a sessionless communication path with a first data processing device (e.g., a mobile client) on the one hand and a session-oriented communication path with a second data processing device (e.g., a host system). The session-oriented communication protocol with the host system permits remote access to, e.g., LAN-based applications, while the virtual session, via a sessionless-oriented communication protocol, between the VSM and remote (i.e., coupled via a tariffed network or connection) client permits this access to be carried out without the expense of a dedicated/circuit switched connection.

In a second main embodiment, a prestage filter stage is provided for applying user-definable filter parameters (e.g., reject, pass, or granularity filters) on data being transferred between the remote communication unit and communication server. For

downloading, e.g., email from a host post office, a communication server controller preferably either forwards the filter parameters in a query object or message to the post office to apply and return qualified mail, or the communication server receives all unprocessed mail and applies the filters locally, only acknowledging as processed that mail which is qualified. For uploading, e.g., email from a client, a client controller applies an upload prestage filter so as to retain all filter rejected mail, while transmitting mail passing the filters. Thus, only desired data transfers (i.e., those meeting user defined filters) are communicated over the expense-bearing networks between the remote unit and communication server.

In yet another main embodiment, a select and summary (S&S) listing or index is used to provide user flexibility in reviewing and requesting otherwise filtered data. Both the user's remote communication unit and communication server maintain a S&S index containing identifying (summary) information about data which has not been fully transferred between the communication unit and communication server. As new data is reviewed and filtered for transfer, identifying/summary information is captured for any non-qualifying data by either a host unit or the communication server. This information is stored in the communication server's S&S index, and at least periodically transferred via update messaging to the remote communication unit. Upon reviewing its updates or its S&S index, the user may send a request for such of the data that it desires partial or full transfers for further review. Thus, a cost efficient review mechanism is provided to users for determining whether to transfer data that otherwise fails selected filter parameters.

In a fourth main embodiment, a method and apparatus for optimized reply to messaging is provided. When sending a reply, the remote communication unit's controller generates a delta (e.g., data representing the content difference between two messages) between a preceding message and the reply message, and forms an optimized

reply using the delta and an identifier of the preceding message. On receiving the optimized reply, the communication server uses the data unit identifier to retrieve the preceding message from a further host (e.g., the post office mailbox of the user associated with the remote unit), reconstructs the full reply from the retrieved message and the delta, and forwards the full reply to the addressee. When receiving a reply for the remote unit, an index is preferably maintained at both the remote unit and communication server of mail stored at the remote unit. Resort is made to this index to determine a preceding message forming part of the reply. An optimized reply is similarly formed from a delta and identifying information of the preceding message, and sent to the remote unit. In this manner, the volume and expense incurred in reply messaging is greatly reduced, by only sending a delta and small header (i.e., the identifying information).

Finally, in a fifth embodiment, a rate governor is provided for monitoring and controlling the amount of communications between the remote unit and communication server. Preferably, as threshold(s) are passed a user is alerted to amounts (time and/or charges) spent or remaining, and once a use limit is reached further communication is restricted. A main rate governor is maintained at the communication server, allowing access, control and the like by system administrators and the like. A further rate governor, responsive to the main rate governor, may also be used at the remote unit. By means of this rate governor a mechanism is provided for both limiting user or group data transfer beyond a set amount, as well as providing alerts to users as the limit is approached.

Turning now to FIG. 1, there is generally depicted a communication system 100 in accordance with a first embodiment of the invention. This system is configured to support one or more user devices such as wireless subscriber units (i.e., mobile station (MS) 105) communicating with host processor 115 via an infrastructure including base station 120 and intermediate system 125 coupled to a



data network 130. In the illustrated case mobile station 105 is a portable computer having an rf (radio frequency) modem 106. A communications server 110, including a virtual session manager (VSM) and query manager (QM), is coupled between the public data network 130 and the host server 115. The virtual session manager and query manager are, preferably, an appropriately configured data processing device, the VSM and QM program being shipped for loading on the server 110 via any convenient means such as a machine-readable CD-ROM 111 (compact disc-read only memory) or the like.

Counterpart client-communications software, e.g., a prestage filter and rate governor, can be shipped via a similar convenient form like CD-ROM 107, downloaded directly from server 110 to subscriber 105 (also being, e.g., a data processing device, by which is meant virtually any processor (but not a human) capable of processing data for a programmed result, whether a general purpose computer or more specialized electronic processor), or the like.

In this embodiment the mobile user 105 communicates with the server/VSM 110 using any appropriate data protocol being used by the data network 130, as necessarily modified for transport over the wireless infrastructure; the wireless infrastructure could be, e.g., any private system like ARDIS® or DataTAC®, CDPD (cellular digital packet data), GPRS (GSM Packet Radio Service), and the like. Thus, a sessionless data flow between the mobile user 105 and server/VSM 110 occurs on an event driven basis, and no costly connection is maintained when there is nothing being communicated. In order to keep connectivity costs to a minimum, the server 110 is preferably connected to the LAN/WAN on which the host 115 is also connected, via any standard LAN/WAN communication channel (e.g., a bus or backbone). This allows the communications server 110 to advantageously maintain the same session with the host 115 that the client 105 typically enjoys when connected to the LAN/WAN. Thus, by use of the server 110 the client 105 can achieve a virtual session with the host 115 with almost the same access as if directly



connected to the host's 115 LAN, but at a substantial reduction in the cost of communicating via the wireless network and PDN 130.

FIG. 2 illustrates an alternative communication system 200 embodiment of the present invention. A first client, a mobile end system (M-ES) computer including a user device 201, is in communication with a base station (BS1) 218 of a wireless communication system. This base station 218 is coupled, e.g., on a same bus or via bridges/routers, to a communication server 220 which includes VSM 230. An electronic mail (email) post office is coupled locally to VSM 230, either as another program running on the same communications server 220 or located on another server 240 of the communications server's 220 LAN/WAN. It is not important, however, where the post office is located for purposes of operation of the VSM 230, as is illustrated by other application hosts B and C 255, 260 being in communication via other networks such as a public data network or public switched telephone network 250. In fact, the same user 201 could be concurrently coupled via the VSM 230 to, for example, a local email post office 240, a remote client-server host 255, a further database host server (not shown), an administrator host server 260, a multimedia host, a voice processor, etc. It should be understood that for purposes of this application, a first device or component is responsive to or in communication with a second unit or component regardless of whether the first and second units are directly coupled or indirectly coupled, such as via intermediate units, including switches that operatively couple the units for only a segment of time, as long as a signal path can be found that directly or indirectly establishes a relationship between the first and second units. For example, the client computer 105 is in communication with the VSM server 110 even though intermediate system (e.g., a router or switch) 125 and a packet network 130 having multiple switches etc. are disposed between the user device 105 and VSM server 110.

In the illustrated case client 201 includes a data transfer manager or exchange unit 206, which in simple form could be an appropriately programmed electronic processor 207 (e.g., a general purpose CPU (central processing unit) and memory or data store 211. A timer 205 is also preferably employed in the data exchange control process, as will be explained further in connection with the flow chart of FIG. 3 below. A typical client 201 would also include some form(s) of user interface such as display 204, a data encoder/decoder 203 to accommodate the system communications protocol(s), and a transceiver (if using rf or infrared communications) and a modulator-demodulator (or modem) 202 to connect to a wireless or wireline communications network. Transceiver/modem 202 in this case would either include a built-in or attached user module for wireless LAN communications; the specific type will vary depending on the system, e.g., including PCMCIA (personal computer memory card interface association) wireless modems, and attached or built-in PSTN (public switched telephone network) modem, etc. Specific features of data exchange unit 206 preferably includes (as more fully described below) a prestage filter (PSF) manager 208, rate governor (RG) 209, user profile store 212, select and summary index store 213, and mail store 214 (a store being any available device (e.g., ROM (read-only memory), disks) or program (e.g., a database) for storage of the specified information).

The communication server 220 preferably includes a data transfer manager or controller 229 having a VSM 230, memory stores for storing active client profile (user parameters) and inactive client profile information 226 and 227, a timer 224, and optionally some form of protocol translators or formatters 222. The VSM 230 serves to manage the virtual session with the client 201 and session with host systems 240, 255 and/or 260 based on the parameters loaded into the active user parameter store/profile memory 226 or object. Controller 229 preferably also includes a query manager (QM) 231 for controlling specific processes (e.g., sending messages to a post

office to query for unprocessed messages and forwarding received messages etc.), and a prestage filter 232 and rate governor 234. Memory 225 also preferably includes a client select and summary index database or store 228, which will also be described more fully below in connection with FIGS. 7 and 8. The protocol translators 222 serve to format or code the messages as appropriate for transport between the VSM 230 and client 201; these include, e.g., appropriate protocol software that can be located at the communications server, or any other convenient processor per design of the given communication system. By messages is meant any appropriate data unit (whether a frame, datastream, packet, or other format), including objects, datagrams, etc., for containing information being communicated.

Communications server 220 is also illustrated as supporting additional users, e.g. user module 216, communicating via different access points, e.g., control module (CM) 217 of a wireless LAN and base station 219, all access points 217-219 being coupled via a common bus, backbone, etc. These base stations can be part of the same communication system, similar systems owned by different service providers, or even different systems, all of which may be different from the communications server service provider. Thus, for example, a single communications server can support at one local region 215 an ARDIS® node, a RAM® node, a wireless LAN controller module, a CDPD node, an in-building cordless telephone node, etc., allowing users from a variety of systems to access the same communications server and post office. Users not registered could access through the appropriate one of these nodes along the model of FIG. 1, i.e., via PDN 250 to a remote communications server having their VSM/QM. Thus, any number of system configurations is possible, limited only by the network services provided and the user's preference.

A process by which a VSM manages communications between client and host is illustrated in the flow chart embodiment of FIG. 3.

This process typically begins with a user event, such as instantiation  
 (forming) of a communications object at the client and sending a  
 registration message (steps 301-302). Alternatively, the  
 infrastructure could initiate the communications by sending a page  
 5 or the like requesting the client to register (for example, when the  
 client has registered with the wireless system but not yet requested  
 registration with the communications server). In any event, once a  
 registration message is received by the communications server, it  
 preferably authenticates and otherwise qualifies the client,  
 10 including sending a logon/registration message to the host for its  
 authentication of the client (steps 303-305). Upon successful  
 authentication, the communications server instantiates a client  
 object (CO) for the communications session including client  
 parameters retrieved from an inactive client parameter store, as  
 15 modified by the user in his registration or subsequent messages  
 (step 306). These parameters include at a minimum client and host  
 identifiers, but may also include additional preferences based on the  
 type of communications involved. Also, the registration and  
 authentication process can be handled by the VSM, or alternatively by  
 20 another appropriately programmed entity of the communications  
 server. Following instantiation at the server, a response message,  
 e.g., a further registration message, is sent to the client, and an  
 acknowledgment (ACK) returned to the server; both client and server  
 then retain the instantiated objects as fully qualified, and start  
 25 session timers (steps 307-309). At this point a virtual session has  
 been established between the client and the VSM, and a regular  
 session established between the VSM and host computer. If the  
 registration is not successful, then any instantiated object is  
 deleted, with the client returned to an inactive status.

30 Upon establishing the virtual session, a query is preferably  
 generated by query manager requesting unprocessed data for the user,  
 and the VSM forwards the query to the host (step 320). In the case of  
 email, e.g., this might include generating a request message for all  
 unread mail in the users post office box. The post office then checks

for new mail received, and forwards all such mail to the VSM (steps 321-322). Because the VSM has established a LAN session with the post office, these communications are performed relatively quickly, e.g., in accordance with the LAN's and host's typical processing for their current loading level. The VSM in turn forwards the data (i.e., mail) received via the virtual session transport (step 323). For example, in the case of FIG. 1 where PDN 130 is an ISDN (integrated services digital network) network connected to a CDPD wireless network, the mail would be appropriately packetized by the communications server and delivered via the serving BS 120 according to ISDN/CDPD system protocols. This can take up to several minutes or more for a moderately sized mail package. However, since the data is being delivered in a sessionless mode, the amount of time the communication channel (including the more expensive wireless communication channel portion, as well as the portion via PDN 130) is tied up is kept to a minimum. This also translates into a significant cost savings for the user, since the user is only charged on a per packet basis for mail when it is actually transported, and doesn't have to pay for a prolonged session to keep connected to the post office in order to receive new mail. Finally, upon receipt by the client, appropriate acknowledgments are sent and the post office box updated, e.g., by marking the mail as read or processed (steps 324-326)

While in some systems it may be advantageous to store some of the data at the communications server, in the case of email and the like it is presently envisioned that the communication server is preferably used in maintaining the sessions between client and host, and not as a remote server for the host. Thus, rather than have all new data from the host pushed down to the communications server, most data exchanges are preferably initiated, at some predetermined interval or intervals, by the communications server (e.g., by the query manager).



Further, it is an inefficient use of resources to continue querying a host or attempting to deliver data when the client is no longer receiving at its remote location (occurring, e.g., when the client leaves a coverage area, or the user turns off its modem or processor). Thus, a process for either maintaining the client in an active status, or removing the client from active status in response to an event, is also preferably included in the VSM. One such process is to utilize timers at both client and VSM to determine when a virtual session is no longer active. The timers are first set upon registration, and are subsequently reset after each data exchange (steps 327-336). If no data exchange occurs within a predetermined period of time, say 20 minutes, both client and VSM would remove the client qualification (i.e., destroy the client object for the communication session) and, if desired, mark the client as being in an inactive status (steps 337-340). The VSM would also forward a logoff message to the host (step 341). In order to avoid an undesired time out, the client is preferably configured to send a short message after a predetermined period since the last data exchange, sufficiently prior to the time at which the timers elapse so that the VSM can receive it. Otherwise, if there are only intermittent data exchanges, the client may be required to frequently re-register; this in turn means the client will not be notified of outbound data until the client re-registers and is again coupled via the virtual session manager.

Turning now to FIGS. 4 through 6, a presently preferred embodiment is shown for prestage filtering data for transfer between the different functional entities of the wireless communications system of FIG. 2. This typically begins with the generation of a query object or message at the communications server (step 406). This object/message may be created in response to a preceding client generated message (e.g., a request generated when clicking on an application button requesting updates, executing the mail application, etc.), or in response to settings in the client profile. However, after updating the active client profile/object for

an active client application, the query manager is preferably programmed to send query objects at predetermined intervals for each application being run by each active client, the intervals varying depending on the application type (e.g., longer for mail (about every  
 5 5?\*\*\* seconds) than for interactive applications like Lotus Notes (about every 1?\*\*\* second). Alternatively, the intervals could be user specified via the client profile, for example to shorten the query intervals for time critical applications (e.g., for emergency services or "real time" applications) , or lengthen the intervals when less  
 10 frequent updates are desired (e.g., to conserve on traffic expenses for updates to a rapidly changing, but non-time critical, group-ware file or document).

The content of the query objects will vary depending both upon the application and client filter settings. One approach for mail  
 15 applications is to have a predetermined number of user-definable filter attributes stored in the client profile databases (e.g., stores 212 and 226-227 of FIG. 2). These attributes can include, by way of example, the priority of a message (e.g., urgent, normal, or low); the date on which the message is sent or posted; the size of the message  
 20 (typically uncompressed, i.e., the normal stored size; although transmission size or cost could also be used); the author of the message; and the subject of the message (e.g., key words in a subject line or in the text). These attributes can simply be used as reject criteria (e.g., reject all messages having "low" priority, date before  
 25 "12/15/95", size more than "2" kbytes (kilobytes), or subject not containing "project x"), pass criteria (all messages from "Boss") or a combination of both, the variety and complexity being a matter of design choice. These attributes also preferably include certain "granularity" filters, i.e., filters additionally limiting the size of a  
 30 message passing all or most of the other filters. Three possible examples of granularity filters are a truncation size filter (e.g., truncate the message after the first "100" bytes), and text or file attachment filters (e.g., indicating whether or not to strip attachments). Thus, messages passing all criteria but message size



could still be received in a truncated size meeting the message size criterion. Alternatively, messages failing the author or subject filters could still be passed with header information, by setting all rejected messages to be passed with a text truncation size of "0" bytes. One skilled in the art will appreciate that a variety of other reject/pass filter criteria may be used, and the specific ones and combinations of user-definable (or even administrator-definable) features will be largely a matter of design choice depending on factors such as the desired functionality, complexity, and application(s) (including filterable features). It is significant, however, that clients are now provided by the present invention with a means for effecting prestage filtering of their communications by virtue of the communications server and definable filter settings, rather than having to choose between receiving no messages or receive all messages, including less important or expensive and time-consuming transmissions.

The prestage filtering is preferably performed at the host server. This may be accomplished, for example, by passing the filter attributes in an appropriately formatted query object or message for use by the host application. In the illustrated case a query object with the client filter settings is forwarded to the post office, and applied by a communications server object or CSO (instantiated at the post office when the virtual session is established). The post office/CSO reads/queries the query object for the filter attributes, and applies these criteria in the selection and formatting of unprocessed messages (steps 408-412). The filtered messages are then encapsulated and forwarded to the QM, which similarly forwards the filtered messages (with appropriate protocol translation) to the client (steps 414-416). Alternatively, where the host application is not designed to permit prestage filtering, all unprocessed messages can be forwarded to the communications server, where the filters are applied via a prestage filter (PSF) object or routine (e.g., PSF 232 of FIG. 2), with only qualifying/filtered messages being forwarded to the client (steps 410, 418-424). Through acknowledgments the post

office is notified how to mark the mail index in both cases. For example, when prestage filtering at the post office, all forwarded mail would be marked as processed/read and all filtered mail as unprocessed (truncated messages being marked as either depending on design conventions, or if available marked as filtered or partially processed). If prestage filtering is done at the communications server only those messages forwarded to the client would be acknowledged and marked as processed (step 428).

In addition to download/downlink filtering, prestage filtering is also advantageously used in upload/uplink transmissions. This can take the form of granularity filtering, or automatically retaining the whole data unit or message based upon filterable attributes for later transmission when on a lower cost network. In this case, each client would have a prestage filter (PSF) unit such as that of PSF 208 of FIG. 2 (e.g., a PSF object or routine drawing on selected attributes in the profile store 212). Each data unit generated is filtered using the user-selected criteria, with qualifying data being forwarded via the communication server (steps 430-436). If a data unit is not sent, it is retained locally for transmission later, e.g., when connected via a lower cost network to the post office. As an enhancement, the user could additionally be provided with a selection of types of send buttons (i.e., filtered send or unfiltered send), or be prompted with an alert dialogue or similar message when a message is filtered to decide whether to forward the data unfiltered (steps 438-440). Similarly, the user can be provided with several groups of filter settings that could be manually or automatically activated, so as to enable the client to adjust plural filter settings with a minimum effort, for example by switching to a more restrictive profile when entering important meetings (which profile could be automatically activated via an appropriately configured and coupled calendar program, etc.).

While only the client need retain the upload filter attributes in its profile store, preferably both the communication server and

client store copies of the download filter settings in their profile memories. This conveniently permits a client to review all settings whenever desired, and to change the settings locally. When the download settings are changed at the client, the changes are  
5 communicated to the communication server preferably as soon as the change is made, or as soon as a virtual session is established if the changes are made while offline from the communication server (steps 442-444). Further, where a summary index of filtered messages is maintained (as is described in connection with FIGS. 7  
10 and 8 below), upon a change in filter settings the communication server may be automatically set to forward all messages previously rejected but now passing the new filter settings.

FIGS. 5 and 6 illustrate two approaches to prestage filtering particularly useful for email filtering. In FIG. 5, a series of five  
15 reject filters are applied to each message. If a mail message does not meet any of the criteria (priority, date, size, author, or subject/key word) then it is left unprocessed (steps 502-516). Once all unreviewed messages (i.e., all unprocessed messages, or if expanded marking is available all unprocessed messages not  
20 previously filtered) have been filtered, those not rejected are forwarded (step 518). FIG. 6 illustrates the application of granularity filters. If a message exceeds the filter size, it is appropriately truncated (including insertion of a note indicating truncation) (steps 602-606). Similarly, if there are text or file  
25 attachments, and these are marked to be filtered, they are stripped with, optionally, a note being inserted alerting the addressee that the attachment was stripped (steps 608-614). Once filtered, the message is sent (step 616).

FIGS. 7 and 8 illustrate a further enhancement, permitting the  
30 user to more conveniently review selected information even for filtered/rejected data. In the preferred embodiment a query object or message is similarly generated by the communication server as described above. However, in addition to the profile information, the

query object in this case includes a request for summary information about each partially and fully rejected message (step 702). When the host (i.e., a post office server in the illustrated case) receives the query it applies the appropriate filters; if only qualifying mail is present, this is forwarded to the client as described above (steps 5 704-708). Where there is partially (e.g., truncated) or fully rejected data, identifying summary information is captured for all rejected data (step 710). For mail this identifying summary information would include the message serial number, along with certain header 10 information (801 and 802 of FIG. 8). This header information may include any filterable attribute (e.g., date, author, subject, size, priority, attachment indicator) and is preferably client definable, so the client can decide how much header information it needs and how much to omit. All qualifying and non-qualifying (i.e., filter-rejected) 15 mail is marked similarly as described above (step 712).

When the response object or message is received by the QM of the communication server, the encapsulated identifying summary information is saved to a select and summary (S&S) index, such as that illustrated by client S&S index database 228 of FIG. 2 and the 20 index structure of FIG. 8. This index is preferably created in response to the first query following full qualification, although one could retain a stored index when the client is inactive as long as the index is fully updated upon re-registration/ qualification. In order to minimize transmissions between the communication server and the 25 client, only changes to the S&S index are forwarded, as summary delta data (i.e., a delta of the revised index to the immediately preceding index, the preceding index being an acknowledged version same as that stored in the S&S index (e.g., S&S index database 213 of FIG. 2) of the client). Where only identifying summary information is 30 received in response to the query object, one may additionally delay forwarding the delta information to the client for a predetermined period of time or until the next message passing the prestage filters is forwarded, whichever comes first (i.e., the filter-rejected information more likely being less important, some users may prefer

to receive S&S index updates less frequently in order to further reduce costs or interruptions) (steps 714-718).

Upon receiving the delta of the identifying summary information, the client updates its S&S index and, when appropriate, prompts the user (again, the prompt criteria could be set for all messages, or some sub-set based on any filterable attribute, etc.). The user is thus able to review the summary information and make a determination on whether or not to override the filter rejection. For mail the user wants to read, the user indicates the decision by any appropriate means (clicking on the message, voice command, etc.) and an appropriate request generated (e.g., for all selected mail, for only a partially filtered version (e.g., truncated), etc.) (steps 720-722). The request is appropriately translated, as needed, and sent as a query object or message to the post office. Upon retrieval, the requested data is forwarded to the client via the QM. Upon receipt at the client, a read acknowledgment is generated and sent to the communication server. Preferably when the read acknowledgment is received at the communication server a further ACK (acknowledgment signal) is sent to the client, at which time both client and communication server update their respective S&S indices to remove the entry for read mail from the S&S index, and note any partially read mail. Upon acknowledgment, the post office further marks any read mail as processed (steps 724-734).

As with prestage filtering, one skilled in the art will appreciate that many more filterable attributes and summary inputs are possible than those described, and which ones are available will depend on such factors as the desired functionality, complexity, and application(s) (including filterable features) for which the select and summary index is being used. The index structure may thus similarly vary significantly, as will the means for achieving similar indices for both the client and communication server; in other words, while one could simply periodically forward the whole index, where practical any one of a number of known delta (e.g., data representing



the content difference between two files) or other update approaches for communicating less than the whole index are likely more preferable. What is significant, no matter the particular design approach selected, is that a summary index, showing unprocessed or partially processed data (e.g., that filtered), is available to a client for determination on whether to process the data further, with a substantially identical index being retained at the communication server in order to further reduce transmission requirements.

FIG. 9 illustrates a yet further improvement, this embodiment permitting a user to minimize the data transmitted for responses to earlier data transmissions. This is particularly advantageous in the case of email, where it is common to append all prior messages in an email conversation to a reply, making for lengthy reply messages that contain substantial portions that are identical to mail already saved at the client or target unit. While this has come to be expected in email replies, it is also quite costly in time and tariff charges in bandwidth limited systems like most wireless communication systems.

Starting from a client perspective, the process of FIG. 9 commences with a client formulating a reply to a received mail message, much as he or she would for any typical email application (step 902). However, when the user executes the reply, e.g., by clicking on a send button, the client controller (201 of FIG. 2) optimizes the reply message by calculating a delta or difference, using any appropriate delta routine, between the reply message and the preceding message. This delta is then formed into an optimized reply along with a message/data unit identifier for the preceding message/data unit (preferably the mail serial number, although any retrievable identifier of the preceding message may be used, such as header information, or even a CRC (cyclic redundancy check) value) (step 904). To ensure that only the shortest message is being sent, the controller additionally compares the reply message with the optimized reply to determine which is optimum for transmission

(step 906). This determination may be made based on a comparison of the message sizes, compressed and formatted message sizes, or any other convenient means for estimating which version of the reply will require the least bandwidth or transport cost. Thus, for  
 5 example, a normal reply message to a very short message may be selected for transmission where the overhead of the delta and message identifier make the optimized reply bigger than the normal reply message would be. However, in most instances it is anticipated that the optimized reply will be smaller than a normal  
 10 reply message, providing significant savings to the client in time and costs.

When the optimized reply is received at the QM of the communication server, a determination is made on whether to  
 15 reconstruct the normal reply message (i.e., form a replica reply) or to forward the optimized reply, based on known parameters (if any) of the target communication unit/client. Thus, for example, where both the originating and target clients are active and served by the same communication server and thus are known to have optimized reply capabilities, and the target client was an addressee or originator of  
 20 the preceding message identified by the message identifier of the optimized reply, a reconstructed reply is not required. Rather, since the preceding message is either in the inbox or outbox of the target unit, the target unit can reconstruct the reply message from the identified mail in its mailbox and the delta. This advantageously  
 25 allows bandwidth to be minimized for both the sending and target clients. Further, if perchance the target unit has already deleted the identified preceding message, the controller of the target unit could, rather than acknowledge receipt, send a request for the normal reply message, which the communication server would reconstruct as  
 30 described next.

In cases where the target unit is not an active client with the communication server, the QM (or other appropriate entity of the controller) functions to reconstruct the reply message from the



optimized reply. Because the communication server preferably does  
 not retain a copy of client mail or data located on other hosts (such  
 remote stores typically adding complexity and cost, while being  
 unnecessary in view of the virtual session established via the  
 5 communication server), it would use the identifier to retrieve the  
 preceding message from the host (e.g., send a query object or  
 message to the appropriate post office) (steps 908-912). This can be  
 implemented by requesting the preceding message from the client  
 inbox, or from the originating unit's outbox (or even the target unit's  
 10 inbox, if it is a cc: on the preceding message). Because the serial  
 number is a unique number widely used in email applications, this is  
 the preferable message identifier for email systems. However,  
 where this unique number is unavailable other identifiers may be  
 used, including author, date and/or subject matches. Further, for  
 15 some messages it may even be advantageous to use other relatively  
 unique values, such as CRC or other values, by themselves or together  
 with other identifiers. It is relatively unimportant for purposes of  
 the invention what the identifier is, as long as it is useful within the  
 accuracy demanded by the system design for retrieving the correct  
 20 preceding message.

Once the preceding message has been received by the  
 communication server, it uses a counterpart delta routine to that of  
 the client to reconstruct a replica of the reply message from the  
 delta of the optimized reply and the retrieved copy of the preceding  
 25 message. Once reconstructed, the reply message is forwarded to the  
 target unit(s), as well as to the outbox or sent mail folder of the  
 client's post office box (steps 914-916). While some additional  
 processing and network traffic is required between the  
 communication server and host, this is relatively inexpensive  
 30 compared to the savings achieved by using an optimized reply over  
 the tariffed network between the communication server and client.

While the preceding approach can be implemented without  
 resort to a message index, it can be further optimized by use of

indices at the communication server and client. In this case, a full index of each active client's mailbox (or other application file(s)) is maintained at both the client and the communication server. This index could advantageously be one of the S&S indices 213 and 228 of FIG. 2 designed to include all mail (although perhaps with less identifying information for received mail than for filter-restricted mail, depending on factors such as the memory available and the amount of identifying information desirable). When an optimized reply is received at the communication server, a search of the appropriate client index (e.g., first the target unit, if also an active client, otherwise the client's or originating unit's indices) for the message identifier of the preceding message, indicating whether or not the preceding message has been deleted. When the preceding message's identifier is present, the process continues as noted above, in other words by sending the optimized reply to the target unit, or reconstructing the reply message and forwarding it to the target unit.

Replies being sent to the client can similarly use an optimized reply to minimize messaging sizes. Thus, for example, where a reply is received by the communication server which has the client as an addressee, the communication server is capable of generating a delta between the reply message and a preceding message known to be stored in a mail database (e.g., memory 214 of FIG. 2) of the client. The preceding message is most easily identified if an additional identifier is included with the reply for ease of searching in the client's index. However, where such is not included, identifier's can be extracted from the text (e.g., author, date, recipient, subject) for comparison matching. Alternatively, a comparison of the text of the reply message can be used in determining the preceding message. For example, a series of preceding messages could be retrieved for textual comparison; or alternatively an identifying value for all or selected (e.g., sent) mail can be maintained (e.g., by calculating the text CRC value and storing it in the index), and a check of selected portions (e.g., all portions below insertions identifying preceding

messages in the text) of the reply message text can then be performed. The latest or largest matching preceding message is the selected (which could be either a message sent to, or sent from, the client), so as to minimize the delta, and the delta calculated between  
 5 the preceding message and the reply message. An optimized reply is then formed including the delta and preceding message identifier recognizable by the client. This optimized reply is then forwarded, and reconstructed at the client into the reply message. In other words, the client retrieves from memory the message corresponding  
 10 to the message identifier, and forms a replica of the reply message from the delta and message. Once acknowledged, both client and communication server indices are appropriately updated to reflect the mail transfer (steps 918-930).

This embodiment thus provides an efficient process for  
 15 sending reply data between a client and the communication server, without requiring the costly transfer of earlier transmitted portions of the reply data.

In a final embodiment, a rate governor is provided so as to assist clients in maintaining their messaging and expenses within  
 20 desired limits. Turning to FIG. 10, with reference also to FIG. 2, one embodiment of such a rate governor is illustrated. This rate governor operates to track the approximate time and/or expense for client use, which can be as simple as timing a circuit-switched connection, or where packet data is being sent, timing (or estimating  
 25 based on size) the time and/or cost of transmitting the packet over the tariffed network(s). In estimating the transmission value (e.g., cost), a rate governor could better estimate actual costs by taking into account known pricing factors established by each network service provider (e.g., rates by time of day, by grade/ quality of  
 30 service (QoS) for packets, by size or bandwidth desired, etc.). These values would be maintained for application by the rate governor (234 of FIG. 2) as each data unit is received to determine an estimated transmission value.

In the illustrated case of an email application, upon receiving a client-generated message the QM (or other appropriate controller entity of the communication server) passes the pertinent packet information or message parameter (e.g., the packet size from the header) to the rate governor, which in this case operates as a packet rate governor (or PRG). The PRG determines from the client object (or profile store) the amount of use time and/or charge still available (or alternatively, the amount already used, and limits allowed), and compares the use time remaining (e.g., a previously authorized or allocated transmission value) against the value for the message parameter (step 954).

Preferably several limits are established, including one or more alert thresholds. These alert thresholds would serve to warn the client each time a certain threshold is passed in amounts of time/charge used or remaining, permitting the client to limit use as needed to stay within budget, or to seek a higher limit in advance of the point at which the use limit is reached. This use or transmission limit serves as the budgeted limit for data transfers. Unless a user is privileged, once the use limit is reached further communications/data transfers are restricted. In the simplest form, such transfers are restricted by alerting the client that the use limit has been reached, terminating the current session and preventing further sessions until additional use limit time/charge is authorized. Alternatively, certain messaging could still be permitted (based, e.g., on any filterable criteria--e.g., permitting messages to the administrator but not a further communication unit), but with reminders that routine messages will not be forwarded. This would advantageously allow critical messages, messages to an administrator (e.g., requesting additional authorization), etc., to still be transferred, although it does not prevent a user from running up excess charges for messaging to the communication server. A PRG may thus also be advantageously used in the client (e.g., PRG 209 of FIG. 2), signaled by the PRG of the communication server to automatically set certain prestage filters to restrict all but certain

message transfers until a new use limit is provided. If a user were to bypass this client PRG and continue improper messaging, all further sessions could be terminated by the communication server with notification to the administrator and client.

- 5           If the user is privileged, data transfers would still continue despite the user limit having been exceeded. However, an alert would still preferably be sent to both the client and administrator, allowing the administrator to verify the privilege and reset the use limit if desirable, and the client to still be aware it has passed a  
10           targeted use amount (steps 956-968 and 980-984). In any event, after each data transfer the client object or store is updated to reflect the new estimated transaction total (e.g., time remaining, total expense, etc.) (step 958).

- As mentioned above, if a user is not privileged it is preferable  
15           to allow the client an additional data transfer to an administrator requesting additional allocation of time/ charges. This request would be forwarded by the communication server to the administrator host, where it would be processed for approval. If approved, the administrator would notify the communication server  
20           to adjust the use limit by a specified amount. Alternatively, if there is no system administrator, but charges or debits are handled through a communication server service provider, the client may send any appropriate authorization for additional charge/debit to the communication server (e.g., by sending an encrypted account number and identifying information like a PIN (personal identification  
25           number). Once the charge or debit is processed and approved to the service provider's satisfaction, the amount of charge or debit would be used to adjust the use limit. A notification would also be forwarded to the client of the new use limit, with the client PRG  
30           being updated accordingly (steps 970-978).

In addition to updating the use limit in response to a user or administrator request, the rate governor can also be advantageously set to automatically update the use limits upon the occurrence of a



predetermined update event. Thus, for example, where billing and budgeting is done on a monthly cycle, and the administrator has set rate governor preferences so as to automatically reset the use limit on the first day of the next billing cycle, the communication server  
 5 will automatically reset the client use limit at the specified time and in the specified amount (step 992).

Moreover, in order to achieve an even more accurate billing control, the communication server could be coupled with the tariffed network service provider(s) so as to receive periodic charge  
 10 statements for client data traffic, as well as updates for tariff rates, etc. In order to take advantage of these statements, a billing index would be maintained for each client estimating use and charges for each data transfer. Upon receiving the periodic charge statement (e.g., forwarded once a day during an administrative window) the  
 15 estimated use entries are replaced by the actual use and charges from the statement, and the client profile (and object, if active) is updated to reflect a corrected use limit, etc. The administrator is notified, and the client is notified upon the next transaction, of the updated amount. If desired, the client or administrator can request a  
 20 download of the current billing index showing the most recent estimated and actual charges (steps 986-990).

Finally, one should appreciate that the above process is equally applicable to groups as well as to individual clients. Thus, the PRG can advantageously be used to set use limits for groups and  
 25 supergroups of users, as well as for individual clients as described above. Thus, where one of the applications being used is groupware, as opposed to the email example described above, different groups can be assigned group use limits for groupware data transfers (while retaining individual use limits for separate email or data  
 30 transfers, etc.). To avoid one or two users exhausting the group's authorized limit, individual use limits can still be set for each client, although with more flexibility, e.g., to draw on unused group time before requiring additional allocation from an administrator, to

permit another user of the group to yield a portion of its individual use limit, etc. As should be apparent, many variations exist on how the rate governor is structured, depending on the applications being used, clients and groups operating, the interactivity with service  
5 providers, complexity or simplicity desired, and many other related and unrelated factors.

One skilled in the art will appreciate that there are many variations that are possible for the present invention, only a limited number of which have been described in detail above. Thus, for  
10 example, while the embodiments above describe application to clients communicating in certain systems, one should appreciate that it has application to any communication system, wired or wireless, client-server, distributed or other networks, etc., in which the user is remote from a host. It can also be used with almost any  
15 application program or groups of programs (e.g., transferring database, wordprocessing, graphics, voice etc. files, executing programs and control messages, etc.), not just email or groupware. Moreover, while processor 206, controller 229, timers 205 and 224, data stores 211 and 225, and other circuits, are described in terms  
20 of specific logical/functional/circuitry relationships, one skilled in the art will appreciate that such may be implemented in a variety of ways, preferably by appropriately configured and programmed processors, ASICs (application specific integrated circuits), and DSPs (digital signal processors), but also by hardware components,  
25 some combination thereof, or even a distributed architecture with individual elements physically separated but cooperating to achieve the same functionality. Thus, it should be understood that the invention is not limited by the foregoing description of preferred embodiments, but embraces all such alterations, modifications, and  
30 variations in accordance with the spirit and scope of the appended claims.

We claim:



## Claims

1. A system for communicating data with a communication unit associated with a first user comprising:
- 5 a host server; and
- a communication server, in communication with the host server and communication unit, comprising a data transfer manager operable for controlling communication of data
- 10 between the communication unit and host server including filtering data from the host server based on at least one user-definable filter parameter.
- 15 2. The system of claim 1, wherein the host server is a host client-server program operating on a host processor, and the host processor is in communication with the communication server via a wide area network (WAN) communication channel.
- 20 3. The system of claim 1, wherein the communication server and communication unit are coupled by a first communication channel including a wireless communication channel.
- 25 4. The system of claim 1, wherein the data transfer manager further comprises a virtual session manager adapted to control communication of data between the communication unit and host server by communicating the data via a sessionless-oriented communication protocol over a first
- 30 communication channel between the virtual session manager and the communication unit, and by communicating the data via a session-oriented communication protocol between the virtual session manager and the host server.

5. The system of claim 1, wherein the communication server further comprises a user profile store storing the filter parameter, and the data transfer manager is further operable for determining the filter parameter from the user profile store and communicating the filter parameter to the host server, the host server being operable for applying the filter parameter to determine whether to transfer to the communication server a first data unit addressed to the communication unit.
6. The system of claim 5, wherein the data transfer manager further comprises a query manager operable for determining the filter parameter from the user profile store and communicating a query object including the filter parameter to the host server, the host server being operable for applying the filter parameter to filter the first data unit before transferring the first data unit to the communication server.
7. The system of claim 6, wherein the communication server further comprises a summary database for storing identifying information about filtered data units, the host server being operable for sending identifying information of a second data unit when, after applying the filter parameter, the second data unit is determined to match the filter parameter, the query manager being operable for storing the identifying information of the second data unit in the summary database and sending the identifying information of the second data unit to the communication unit.

8. The system of claim 1, wherein the host server is an electronic mail post office operating on a host processor, the first and second data units are first and second email  
5 messages, and the filter parameters are at least one of a group consisting of an author name, priority level, mail date, message size, and subject word.
9. The system of claim 1, wherein the host server and  
10 communication server are different programs operating on a same host processor
10. The system of claim 1, wherein the host server is one of  
15 a group consisting of an electronic mail post office, a client-server host, a multimedia application host, and a voice processor.
11. A method of communicating data between a first data  
20 processing device and a third data processing device via a second data processing device, comprising:
- (a) at the second data processing device, controlling communication of the data between the first data processing device and third data processing device including filtering of  
25 the data from the first data processing device based on at least one user-definable filter parameter.

12. The method of claim 11, wherein:

5       step (a) further comprises determining at least one filter parameter from a user profile store and communicating the at least one filter parameter to the first data processing device; and

10       (b) at the first data processing device, applying the at least one filter parameter to determine whether to transfer to the second data processing device a first data unit addressed to the third data processing device.

15       13. The method of claim 12, wherein the second data processing device further comprises a data transfer manager operable for determining the at least one filter parameter from the user profile store and step (a) further comprises the data transfer manager communicating a message including the at least one filter parameter to the first data processing  
20       device; and step (b) comprises applying the at least one filter parameter to filter the first data unit before transferring the first data unit to the second data processing device.

25       14. The method of claim 13, wherein the at least one filter parameter is at least one of the group consisting of a name parameter, a priority level parameter, a date range parameter, a maximum data unit size parameter, a subject key word parameter, and an attachment parameter, and step (b) further  
30       comprises determining whether a portion of the first data unit matches the at least one filter parameter and not sending the first data unit to the second data processing device when there is a match of any of the at least one filter parameter.

15. The method of claim 14, wherein the at least one filter parameter comprises the maximum data unit size parameter and step (b) further comprises, when there is a match of the maximum data unit size parameter, modifying the first data unit by truncating a size of the first data unit to a maximum size specified in the maximum data unit size parameter and transferring the modified first data unit to the second data processing device.
16. The method of claim 14, wherein the at least one filter parameter comprises the attachment parameter and step (b) further comprises, when there is a match of the attachment parameter, modifying the first data unit by stripping an attachment of the first data unit and transferring the modified first data unit to the second data processing device.
17. The method of claim 14, wherein the second data processing device further comprises a summary database for storing identifying information about filtered data units, and:
- step (b) further comprises determining that a portion of the first data unit matches the at least one filter parameter, determining first identifying information about the first data unit and sending the first identifying information to the second data processing device; and
- (c) the second data processing device storing the first identifying information in the summary database and sending the first identifying information to the third data processing device.

18. The method of claim 13, wherein the at least one filter parameter is at least one of the group consisting of a name parameter, a priority level parameter, a date range parameter, a maximum data unit size parameter, a subject key word parameter, and an attachment parameter, and step (b) further comprises determining whether a portion of the first data unit matches the at least one filter parameter and sending the first data unit to the second data processing device when there is a match of any of the at least one filter parameter.

19. The method of claim 11, wherein step (a) further comprises:

(i) receiving a first data unit from the first data processing device;

(ii) determining at least one filter parameter from a user profile store; and

(iii) applying the at least one filter parameter to determine whether to transfer the first data unit to the third data processing device.

20. The method of claim 19, wherein step (a)(iii) further comprises determining whether a portion of the first data unit matches the at least one filter parameter and not sending the first data unit to the third data processing device when there is a match of any of the at least one filter parameter.



21. The method of claim 19, wherein the second data processing device further comprises a data transfer manager operable for determining the at least one filter parameter from the user profile store and step (a)(iii) further comprises applying the at least one filter parameter to filter the first data unit before transferring the first data unit to the third data processing device.

22. The method of claim 21, wherein the at least one filter parameter is at least one of the group consisting of a name parameter, a priority level parameter, a date range parameter, a maximum data unit size parameter, a subject key word parameter, and an attachment parameter, and step (a)(iii) further comprises determining whether a portion of the first data unit matches the at least one filter parameter and not sending the first data unit to the second data processing device when there is a match of any of the at least one filter parameter.

23. The method of claim 22, wherein the at least one filter parameter comprises the maximum data unit size parameter and step (a)(iii) further comprises, when there is a match of the maximum data unit size parameter, modifying the first data unit by truncating a size of the first data unit to a maximum size specified in the maximum data unit size parameter and transferring the modified first data unit to the third data processing device.

24. The method of claim 22, wherein the at least one filter parameter comprises the attachment parameter and step (a)(iii) further comprises, when there is a match of the attachment parameter, modifying the first data unit by stripping an attachment of the first data unit and transferring the modified first data unit to the second data processing device.
25. The method of claim 19, wherein step (a)(iii) further comprises determining whether a portion of the first data unit matches the at least one filter parameter and sending the first data unit to the third data processing device when there is a match of any of the at least one filter parameter.
26. The method of claim 19, further comprising, prior to step (a), establishing a virtual communication session between the first data processing device and third data processing device, and establishing a communication session between the second data processing device and the third data processing device; and communicating the data between the first and second data processing devices by communicating the data via a sessionless-oriented communication protocol over a first communication channel between the first and third data processing devices, and by communicating the data via a session-oriented communication protocol over a second communication channel between the second and third data processing devices.

27. The method of claim 26 wherein:

the first data processing device is a first user device,  
5 the second data processing device is a host processor, and the  
third data processing device is a communications server, and

the step of communicating data between the  
communications server and the user device comprises  
10 communicating the data via a wireless communication channel  
and the data is one of a group consisting of an email message,  
a text file, a database file, a graphics file, a voice file, and a  
control message.

15 28. A communications server adapted for communicating  
with a host server and a communication unit including a  
processor, the communications server comprising:

(a) a user parameter store adapted to store user  
20 parameters; and

(b) a data transfer manager, coupled with the user  
parameter store, adapted to control communication of data  
between the communication unit and host server including  
25 filtering of the data from the host server based on at least one  
user-definable filter parameter stored in the user parameter  
store.

29. The communications server of claim 28, wherein the data transfer manager comprises a prestage filter manager determining the filter parameter from the user parameter store and communicating the at least one filter parameter to the host server, the host server being operable for applying the at least one filter parameter to determine whether to transfer to the communications server a first data unit addressed to the communication unit.

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30. The communications server of claim 28, wherein the data transfer manager further comprises a query manager determining the at least one filter parameter from the user parameter store and communicating a query object including the at least one filter parameter to the host server, the host server being operable for applying the at least one filter parameter to filter a first data unit before transferring the first data unit to the communications server.

31. The communications server of claim 30, wherein the communications server further comprises a summary database storing identifying information about filtered data units, the host server being operable for sending identifying information of the first data unit when, after applying the at least one filter parameter, the first data unit is determined to match the at least one filter parameter, the query manager further storing the identifying information of the second data unit in the summary database and sending the identifying information of the first data unit to the communication unit.

32. The communications server of claim 28, wherein the data transfer manager further comprises a prestage filter manager determining the at least one filter parameter from the user parameter store and applying the at least one filter parameter to a first data unit from the host server to determine whether to transfer the first data unit to the communication unit.

33. The communications server of claim 28, wherein the at least one filter parameter is at least one of a group consisting of a name parameter, a priority level parameter, a date range parameter, a maximum data unit size parameter, a subject key word parameter, and an attachment parameter, and the data transfer manager further comprises a prestage filter manager determining the at least one filter parameter from the user parameter store and applying the at least one filter parameter to a first data unit to determine whether a portion of the first data unit matches the at least one filter parameter and not sending the first data unit to the communication unit when there is a match of any of the at least one filter parameter.

34. The communications server of claim 33, wherein the host server and communications server are different programs operating on a same host processor.

35. A data transfer manager of a communication unit adapted for sending data to a communications server for further transfer to a further data processing device, the data transfer manager comprising:

(a) a user profile store adapted to store user parameters including at least one filter parameter; and

(b) a prestage filter manager, coupled with the user profile store, and operable for controlling communication of the data between the communication unit and host server by applying the at least one filter parameter to the data.

36. The data transfer manager of claim 35, wherein the at least one filter parameter is at least one of the group consisting of a name parameter, a priority level parameter, a date range parameter, a maximum data unit size parameter, a subject key word parameter, and an attachment parameter, and the prestage filter manager is further operable for determining whether a portion of a first data unit matches the at least one filter parameter and not sending the first data unit to the communications server when there is a match of any of the at least one filter parameter.

37. The data transfer manager of claim 35, wherein the prestage filter manager is further operable for applying the at least one filter parameter to a first data unit to determine whether to transfer the first data unit to the communications server.



38. The data transfer manager of claim 37, wherein the at least one filter parameter comprises a maximum data unit size parameter and the prestage filter manager is further operable for determining when there is a match of the maximum data unit size parameter with a size of the first data unit and modifying the first data unit by truncating the first data unit to a maximum size specified in the maximum data unit size parameter, and transferring the modified first data unit to the communications server.

39. The data transfer manager of claim 37, wherein the at least one filter parameter comprises an attachment parameter and the prestage filter manager is further operable for determining when there is a match of the attachment parameter with the first data unit and modifying the first data unit by stripping an attachment from the first data unit, and transferring the modified first data unit to the communications server.

## METHOD AND APPARATUS FOR PRESTAGE FILTERING COMMUNICATIONS

### Abstract Of The Disclosure

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In a main embodiment, prestage filtering is applied via user-definable filter parameters (e.g., reject, pass, or granularity filters) on data being transferred between a communication unit (201) and communication server (220). For downloading, e.g., email from a host post office (240), a communication server controller (229) preferably either forwards the filter parameters in a query object or message to the post office to apply and return qualified mail (406-414), or the communication server receives all unprocessed mail and applies the filters locally (418-420), only acknowledging as processed that mail which passes the filters. For uploading, e.g., email from a client, a client controller applies an upload prestage filter (432) so as to retain all filter-rejected email, while transmitting email passing the filters (434). Thus, only desired data transfers (i.e., those meeting user defined filters) are communicated over the expense-bearing networks between the remote unit and communication server.

10  
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20

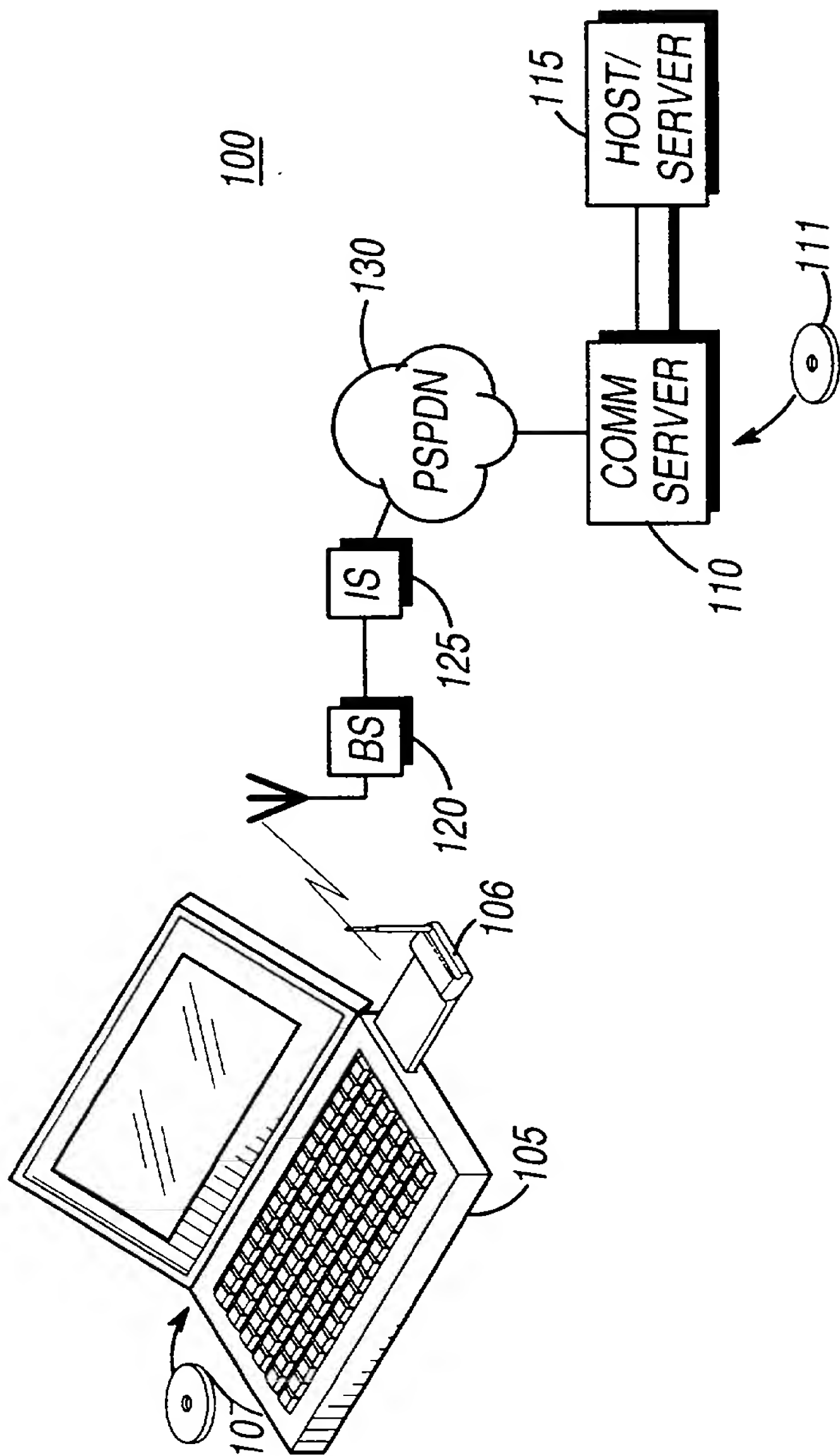


FIG.1

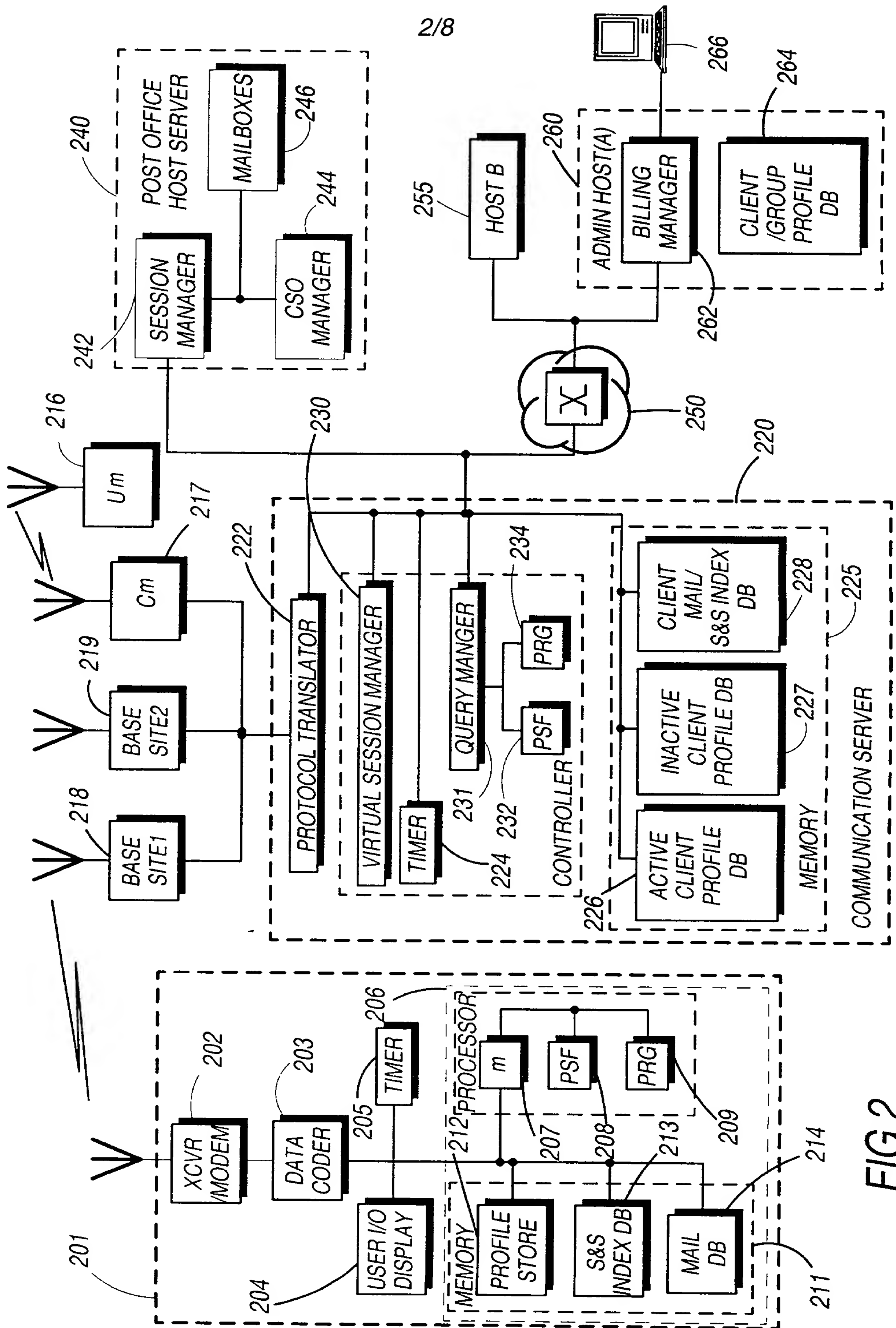


FIG.2

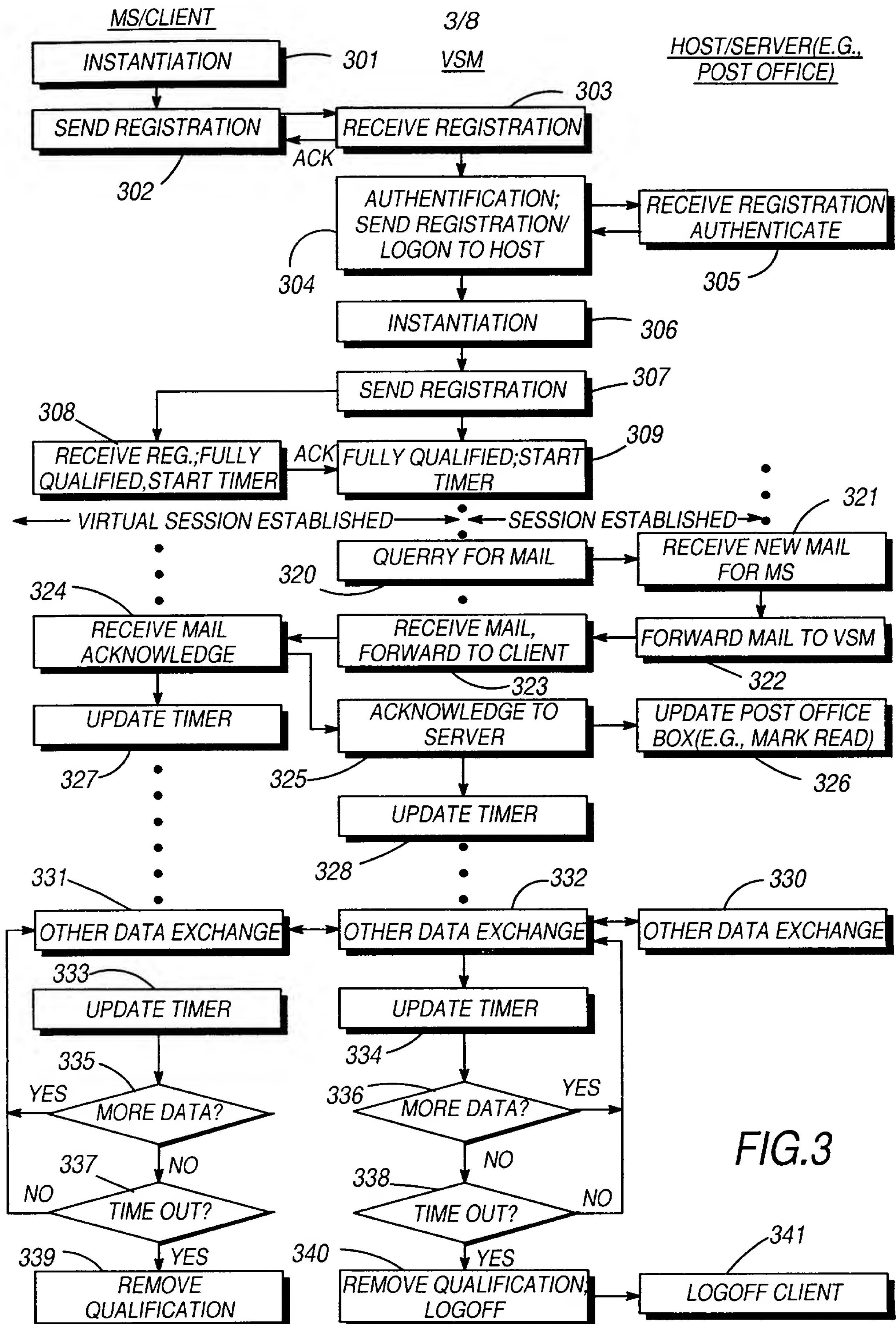


FIG.3

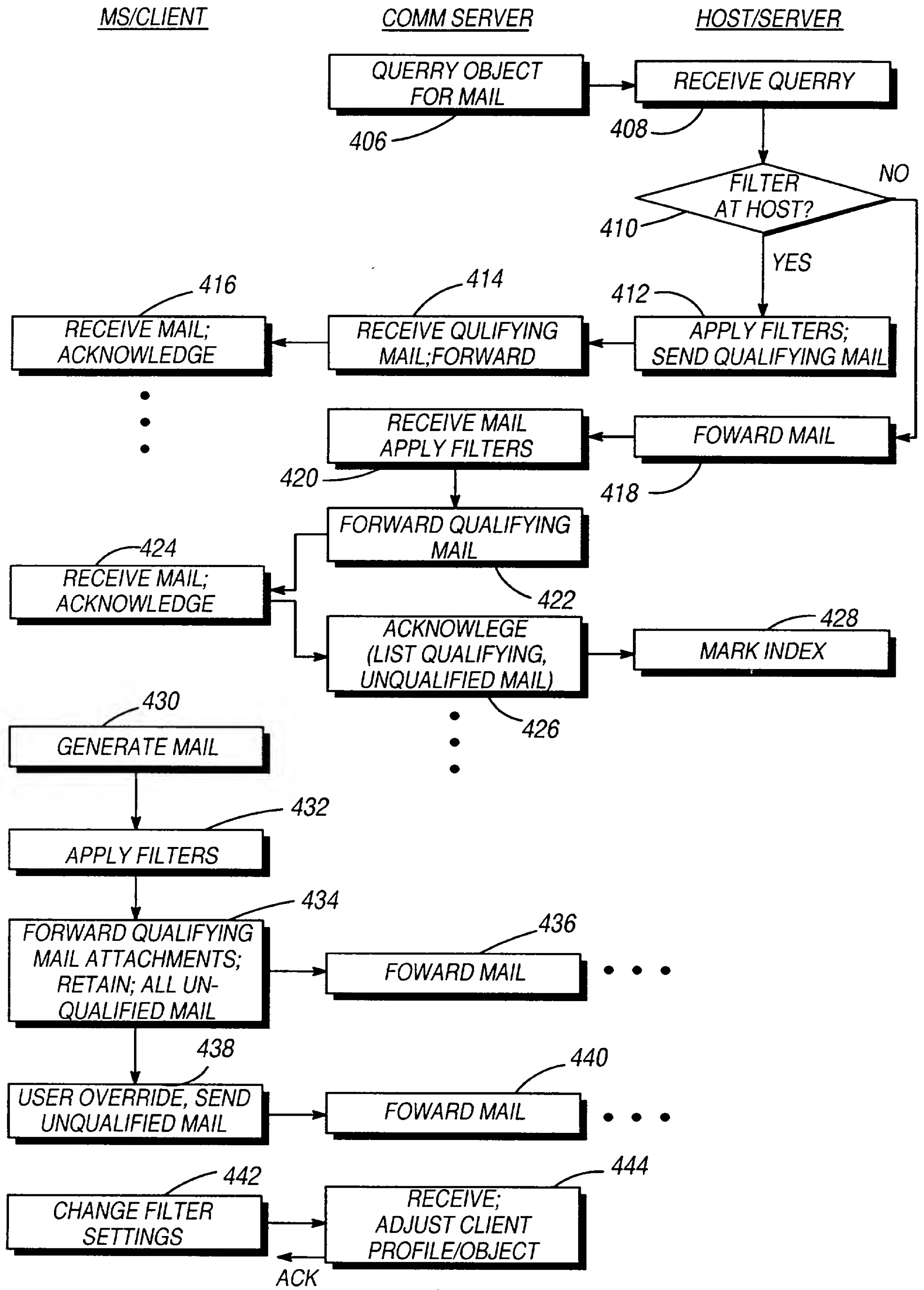


FIG.4



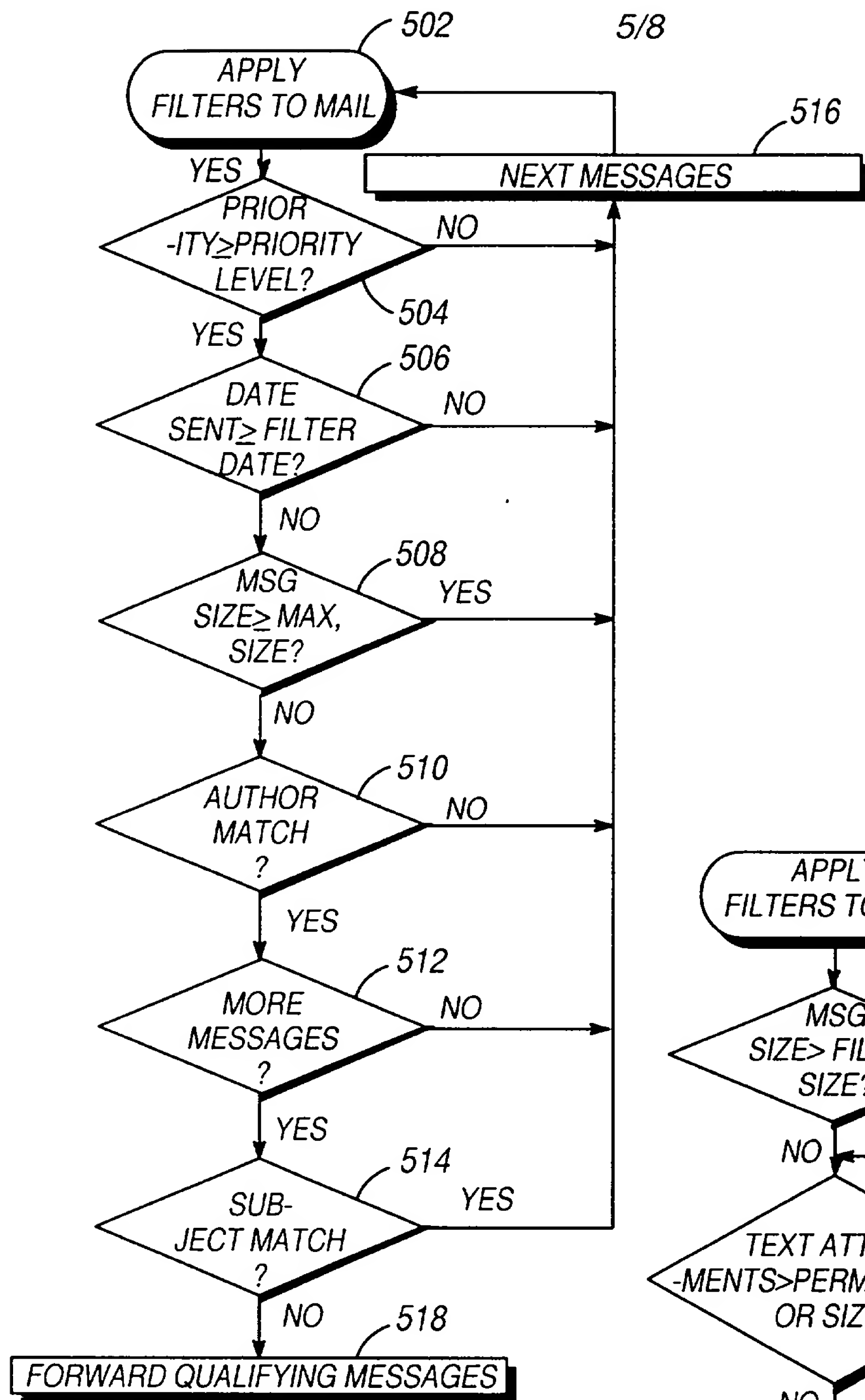


FIG.5

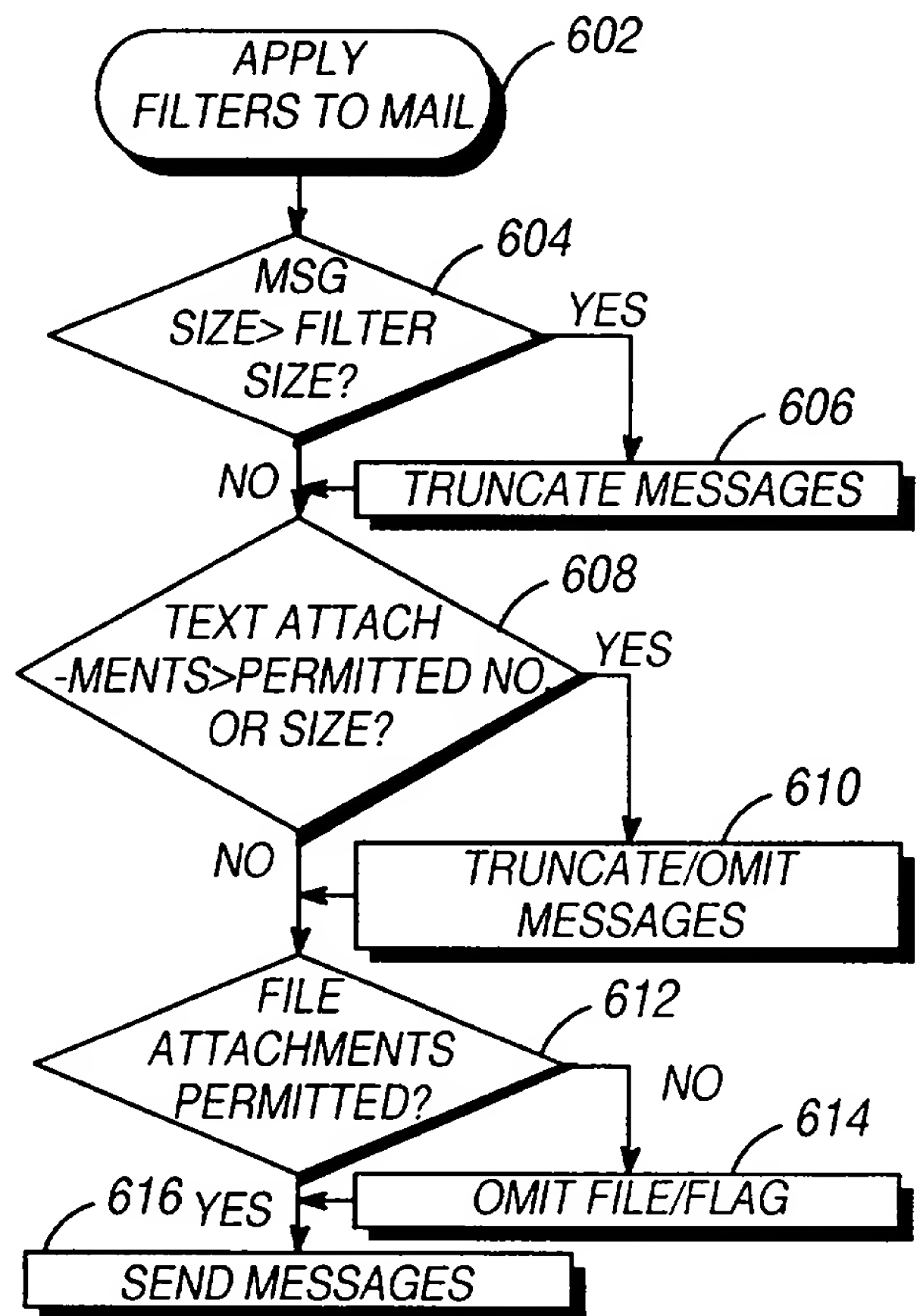


FIG.6

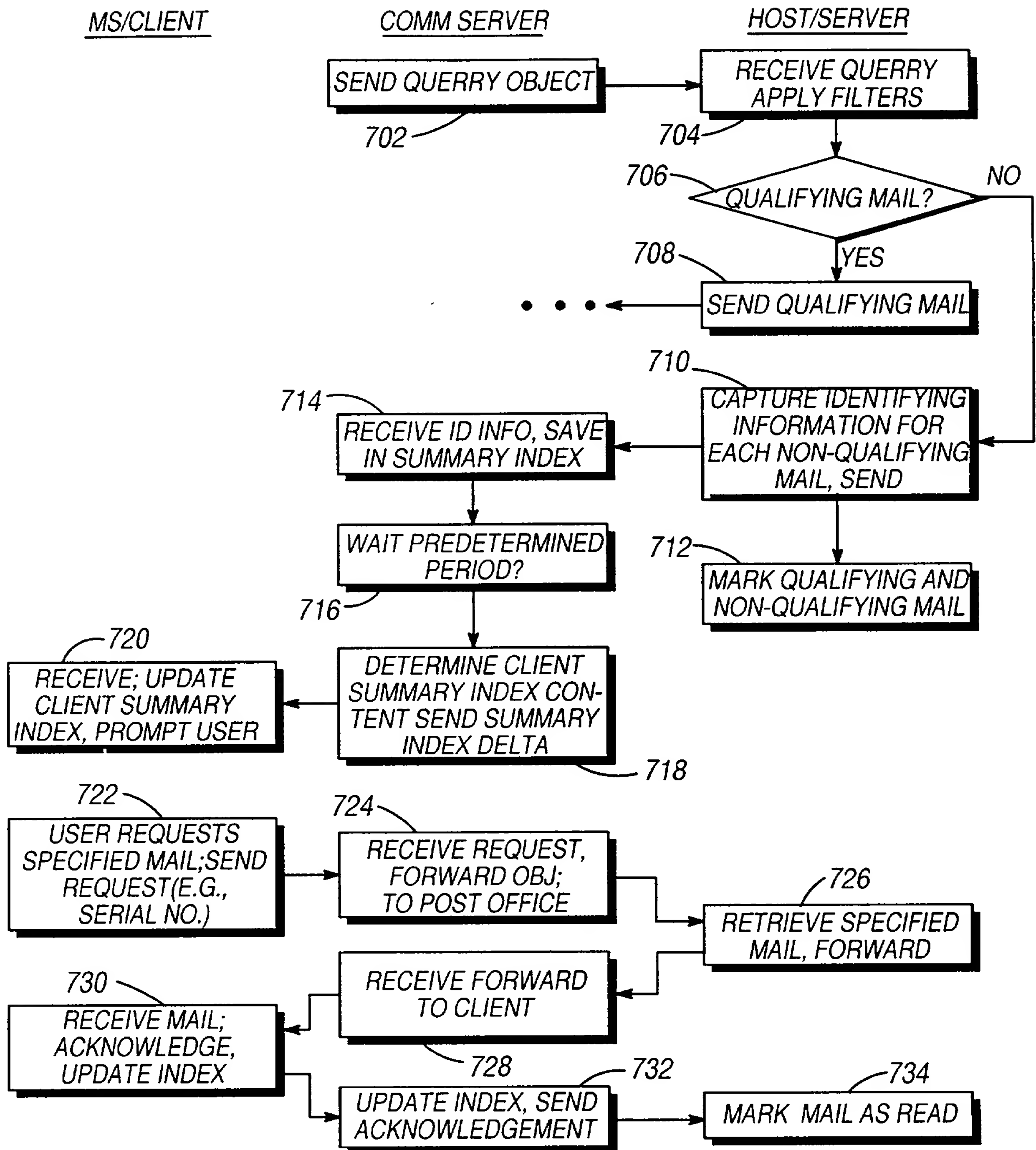


FIG.7

CLIENT 1 SUMMARY INDEX	801		802	
	SERIAL NO.1	HEADER INFO.1(E.G.,AUTHOR:SUBJECT,DATE/TIME IN; SIZE:ACKNOWLEDGEMENT/SIZE:PRIORITY)		
	SERIAL NO.2	HEADER INFO 2		
	⋮	⋮		

FIG.8

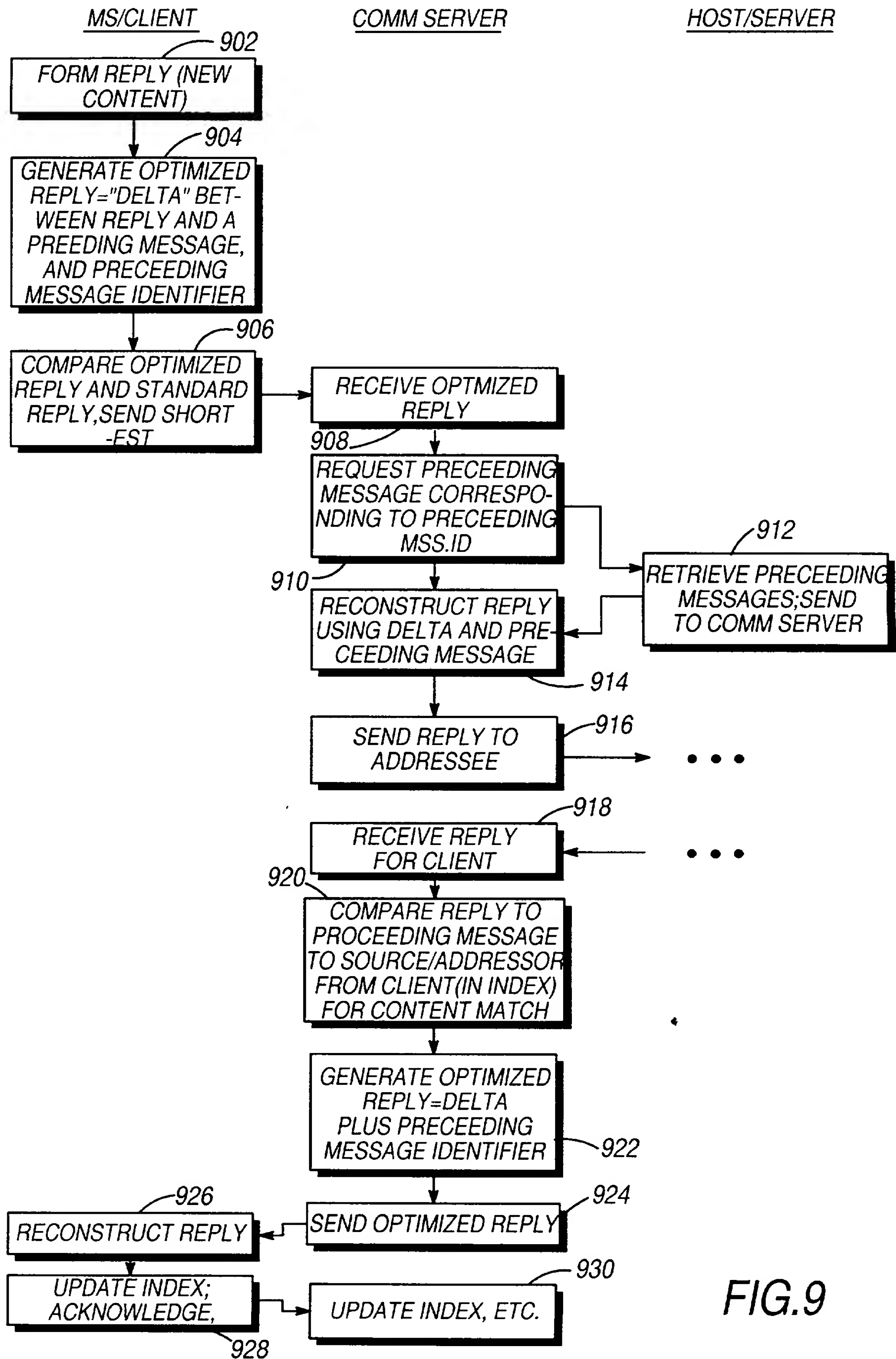
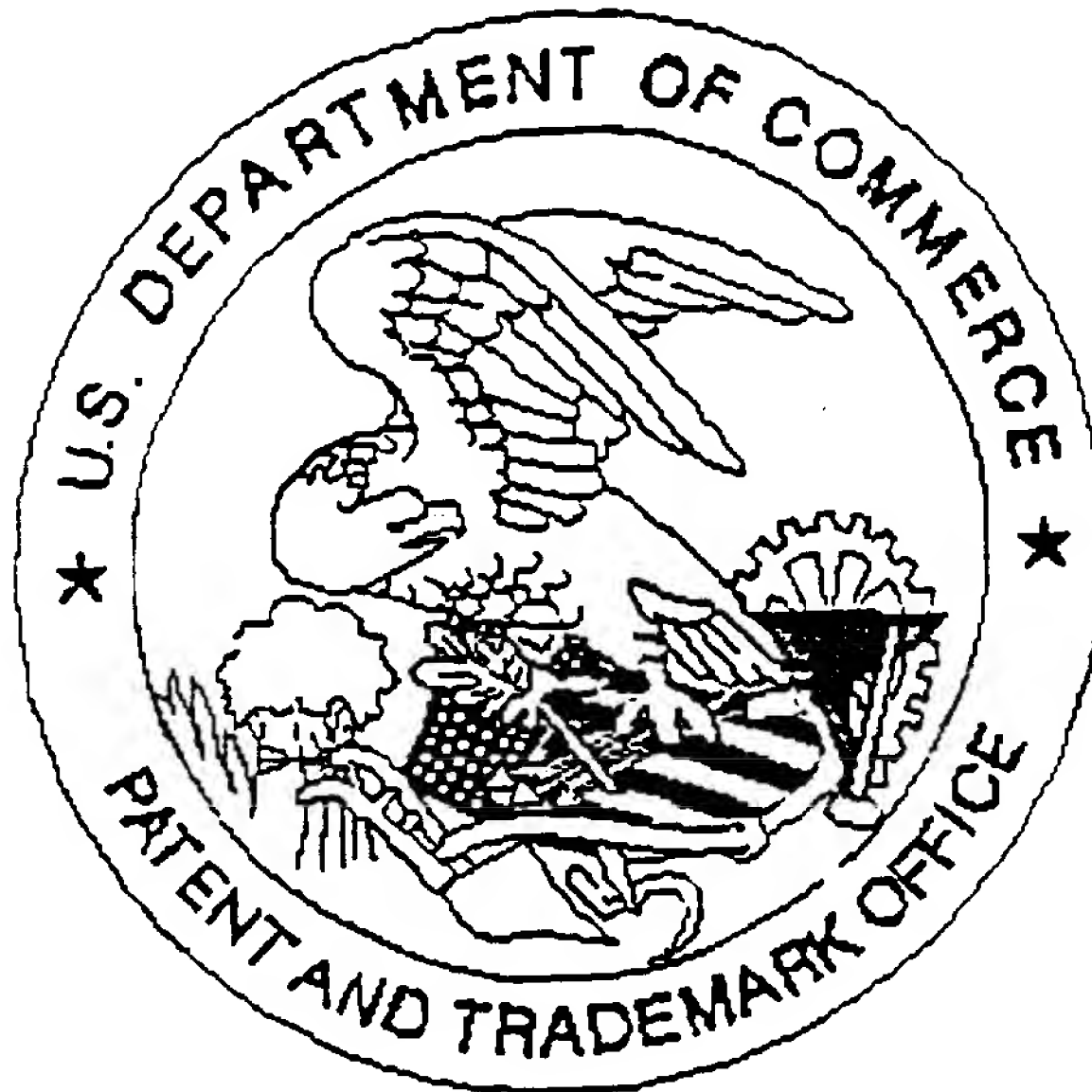


FIG.9

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  - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
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4. Page(s) \_\_\_\_\_ are missing.
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